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Certified Quality Auditor Certification Exam

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Question: 1

Which of the following types of data is likely to be most reliable?

- A. Data from an outside source
- B. Data from the audited area
- C. Attributes data
- D. Corroborated data

Answer: D

Question: 2

Management can best ensure support for the audit function from other departments by

- A. continually evaluating the audit results
- B. reviewing audit follow-up activities and ensuring that corrective action requests are closed in a timely manner
- C. hiring personnel who have knowledge of quality procedures and by providing continuing education
- D. emphasizing the importance and usefulness of audits to the organization

Answer: D

Question: 3

Managing the quality audit process includes ensuring that which of the following audit functions occur?

- i. Preparing and issuing the annual audit schedule
- ii. Issuing audit reports in a timely manner
- iii. Identifying appropriate corrective actions
- IV. Assessing the effectiveness of the quality audit process

- A. I and II only
- B. I and III only
- C. II and IV only
- D. I, II, and IV only

Answer: D

Question: 4

The main function of an audit checklist is to

- A. identify the specific products to be examined
- B. serve as a guide for members of the audit team
- C. save time by auditing only the items listed
- D. expedite the preparation of the final audit report

Answer: B

Question: 5

During an audit, the best way to determine whether an audited process is consistent with the requirements of the relevant written procedure is by

- A. doing a statistical analysis of historical data
- B. physically observing the actual practice
- C. questioning the personnel who perform the procedure
- D. evaluating anecdotal evidence

Answer: B

Question: 6

The auditor has the most independence from the auditee in which of the following types of audits?

- A. First-party

- B. Supplier
- C. Third-party
- D. Management

Answer: C

Question: 7

During the closing meeting, the lead auditor should do which of the following?

- A. Accept the presentation of objective evidence.
- B. Review the auditor's qualifications.
- C. Perform a causal analysis with the auditee.
- D. Present a summary of audit results.

Answer: D

Question: 8

During an audit, the client learns that the auditor has recently completed a quality system audit of a major competitor. The client then questions the auditor about the competitor's audit results. The best action for the auditor to take is to

- A. discuss the results of the audit with the client, only if the competitor agrees
- B. go offline with the client, explain that the question is unethical, and that if the client persists, additional action by the auditor will be taken
- C. explain to the client that it would be inappropriate to discuss the results of that audit
- D. obtain permission from the competitor to use the results of the audit as examples for future clients, provided that the examples are not specific to business affairs or technical processes

Answer: C

Question: 9

Quality system audits are directed at evaluating the

- A. specific procedures that have special significance for a company's quality mission
- B. quality aspects of various segments of a company's overall approach to quality management
- C. quality aspects of specific processes to measure conformance to quality standards
- D. organizational units that each have specific assigned missions

Answer: B

Question: 10

Which of the following represents a sound time-management technique?

- A. Scheduling interviews simultaneously at two different facilities
- B. Constantly revising the audit schedule to accommodate follow-up questions
- C. Anticipating needs and making them known to the auditee in advance
- D. Determining the detailed audit schedule at the audit opening meeting

Answer: C

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